

# Family physicians' sick-listing practices in relation to mental disorders: A descriptive study

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Received 19 October 2021

Accepted 28 April 2022

## Abstract.

**BACKGROUND:** Mental disorders are among the leading causes of disability for which family physicians are often required to complete sickness certificates. Yet, little is known about family physicians' sick-listing practices in Quebec.

**OBJECTIVE:** This study aims to describe their practices, difficulties and needs.

**METHODS:** Twenty-three family physicians completed a comprehensive questionnaire on sickness certification practices. Descriptive statistics were used.

**RESULTS:** Despite being completed on a weekly basis, sickness certifications were deemed problematic by all participants. While they rarely refused to sick-list a patient, 43.5% reported suggesting accommodations as an alternative to sick leave. Waiting-time to access psychotherapy and delays to set-up workplace accommodations are responsible for many unnecessary sick-leave prolongations. Lack of time, long duration absences, situations where the physician held a different opinion than the patient/healthcare provider and assessing an individual's capacity to work are the most common reported problems. More than half of participants indicated medical schools do not greatly prepare them to carry out these tasks.

**CONCLUSION:** Sickness certifications are deemed problematic, and more training might be key. Our results can be used by medical schools or bodies responsible for continuous education to improve training.

Keywords: General practice, sick leave, disability, certificates, training needs

## 1. Introduction

Sickness disability represents an economic burden for both organizations and society as a whole [1–3]. According to the Organisation for Economic

Co-operation and Development [4] it is estimated that its member countries are among those with the highest share of disability benefits in the world. This includes Canada. In Quebec, this is particularly true, as although this province only makes up 23% of the Canadian population, 33% of all disability claims are recorded here [5]. Mental health disorders are known to affect a greater proportion of workers in their early and prime years, which can likely result in disability

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benefits claims [6]. In most countries, the decision regarding the allowance of disability benefits does not belong to the physician, but to the insurance system [7–9]. Nevertheless, when a family physician is of the opinion that a worker's medical condition results in a reduction in his or her ability to work, the family physician will likely recommend a medical leave of absence. For the purposes of this study, and in accordance with the terminology used in many European studies [10, 11], this task will be referred to as sick listing. When sick listing a worker, the family physician is required to provide the insurer with a medical certificate to substantiate the worker's limitations and restrictions [12, 13]. This information allows insurers to determine a worker's entitlement to benefits based on contractual provisions [14]. While physicians frequently carry out sickness certifications tasks, most of them perceived these as problematic [14–18]. Most studies report that family physicians admit struggling when carrying out these tasks [19, 20]. In general, lack of time, handling conflicts with patients, assessing functional limitations and working capacity and estimating the optimal length of absence are among the most common difficulties [20–22]. Sick listing a worker with a mental disorder is thought to be more complex due to the more subjective nature of their symptoms [23, 24].

To date, fewer studies have been carried out on family physicians' sick-listing practices in the context of mental disorders [24–28]. While we have little knowledge around family physicians' sick-listing practices specific to mental disorders, it is thought that many sickness certificates are being completed for these, as mental disorders are becoming one of the leading causes of disability in Quebec.

### 1.1. Objectives

Primary care is generally the gateway to the health care system. Family physicians are the first to be consulted by patients when they are sick or no longer feel capable of working. Sick listing a worker with a mental disorder is thought to be more complex due to the more subjective nature of their symptoms [22, 23]. Many studies have focused on sickness certifications in general, but very few studies have been carried out to specifically investigate family physicians' sick-listing practices in the context of mental disorders [23–27]. This is an important topic as mental health disorders are becoming one of the leading causes of disability. This is particularly true in Quebec, where

mental disorders account for 41% of disability claims compared to the rest of Canada, for which the average is around 25% [5]. Since family physicians play a key role in disability management it is important to better understand their practices. Gaining more knowledge about their practices and needs will not only help customize trainings but may also help enhance the disability management field. Therefore, this study aims to describe Quebec's family physicians' practices surrounding sickness certifications of mental disorders, their perceived difficulties and training needs so as to ensure high quality sickness certifications.

## 2. Method

The first author met with the Fédération des Médecins Omnipraticiens du Québec (FMOQ) to inform them of the study. They agreed to share the link to the online comprehensive questionnaire to their members in their monthly newsletter. During the fall 2019, it is estimated that close to 7530 physicians received the newsletter. Three email campaigns to encourage participation were sent by the FMOQ. Others were also made aware of the project by their Regional Association of General Practitioners, social media, clinic directors and the GMF-Universitaire Maisonneuve-Rosemont. Analyses were conducted on twenty-three participants.

### 2.1. Participants

Twenty-three family physicians including 18 women and 5 men, with an average of 16.8 years of practice, participated in the study. All participants worked within the Province of Quebec's Public Health System and the vast majority worked in a family medicine group setting (FMG). Further demographic information is available in Table 1.

Selection criteria were as follows: French proficiency, having issued a minimal of one sickness certificate for mental disorder in their practice and being a member in good standing with le Collège des Médecins du Québec.

The study rolled over a period of eight months and was approved by the Research Ethics Committee from the University of Quebec in Montreal and by the Ethics Committee of the Integrated University Health and Social Services Centre of the East.

Table 1  
Sample description

|                                    | <i>N</i> | %    |
|------------------------------------|----------|------|
| Gender                             |          |      |
| Female                             | 18       | 78.3 |
| Male                               | 5        | 21.7 |
| Years of Practice                  |          |      |
| 1–5 years                          | 5        | 21.7 |
| 6–10 years                         | 2        | 8.7  |
| 11–15 years                        | 8        | 34.8 |
| More than 15 years                 | 8        | 34.8 |
| Type of clinics level <sup>a</sup> |          |      |
| FMG                                | 21       | 91.3 |
| CLSC                               | 3        | 13   |
| Emergency room                     | 2        | 8.7  |
| Regions                            |          |      |
| Montreal                           | 4        | 17.4 |
| Quebec                             | 5        | 21.7 |
| Lanaudière                         | 3        | 13   |
| Outaouais                          | 3        | 13   |
| Montérégie                         | 2        | 8.7  |
| Others                             | 6        | 26   |

Note. *N* = 23. Participants had on average 16.8 years of practice (*SD* = 12.3); <sup>a</sup>Some participants worked in more than one clinical setting.

## 2.2. Data collection

This study utilized a comprehensive questionnaire developed by Alexanderson for the Karolinska Institutet in Stockholm (2015). This questionnaire has been reviewed by clinicians, teachers, and researchers in the field of insurance medicine and has been frequently used in European studies [29–32]. For this study, an adaptation, and an in-house translation from English to French was carried out [29–31]. The preliminary version was evaluated by a psychiatrist and two psychologists, who were familiar with sickness certifications and who were not involved in the translation process. Minor adjustments were made, and the final version included a total of 142 items. For this study, 97 out of the 142 items are included for analysis. These are: frequency of different sick listing situations, the frequency and external factors leading to unnecessarily sick leave prolongations, perceived difficulties, the extent to which medical school has helped them develop proficiency in handling sickness certifications, perceived training needs and solutions to help enhance the quality of medical certificates.

Items in relation to specific sick-listing certification situations such as frequency, willingness to provide or to refuse a sick-leave, conflicts surrounding sickness certifications and alternatives to sick-listing a patient were offered a 6-points scale response (more than 10 times a week, six to ten times

a week, one to five times a week, about once a month, a few times a year and never or almost never). For the analysis, more than ten times a week and six to ten times a week were combined to “more than 6 times per week” and once a month and a few times a year were combined. Difficulties encountered by family physicians’ category included items related to assessing the working ability and functioning limitations, handling sickness certification tasks and conflicts. Family physicians responded to items according to a 4-point scale ranging from very difficult to not at all. Items aiming at exploring to what extent each education level helped develop sickness certifications competency were provided a 4-point scale response ranging from very to not at all. Family physicians’ perceived needs for trainings such as conflict resolution and stakeholders’ responsibilities were answered on a 4-point scale ranging from to a large extent to not at all. Different solutions to enhance the quality of sickness certifications such as attending workshops or conferences were proposed to physicians. They were asked to rate on a 3-point scale (from very beneficial, moderately beneficial, and not beneficial) the relevance of each of element.

The questionnaire was hosted on Interceptum software (Acquiro System, 2017) as they commit to a highly secure and reliable software. To facilitate access to physicians and recruitment, the questionnaire was available online and participants were able to access it at any given time. Although they were encouraged to complete it all at once, they were also given the option to return to the questionnaire later, should they be interrupted. The consent form preceded the questionnaire and inclusion criteria were reiterated. Participants were required to confirm that these were met. Completion time ranged between 30 and 35 minutes.

## 2.3. Data analysis

Descriptive statistics were calculated for all variables

## 3. Results

### 3.1. Sickness certifications

In response to the general question “how often this type of consultation is deemed problematic”, more than three-quarters of the participants (82.6%)

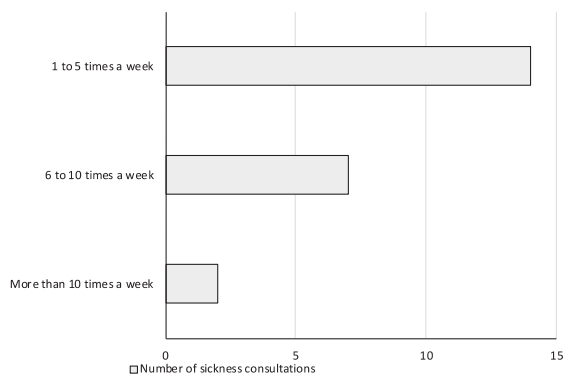


Fig. 1. Number of sickness consultations.

reported that this was problematic at least once a week (Fig. 1).

For all participants, sickness certifications consultations occurred weekly. Close to 40% (39.1%) stated that these happen 6 times or more a week. As shown in table 2, most family physicians expressed lacking time at least once a week to accomplish sickness certification related tasks, with 82.6%, 78.2% and 73.9% of them reporting lacking time with patients, for administrative work including communication with stakeholders, and for education, respectively.

### 3.2. Sick-listing situations

The frequencies of different situations related to the handling of sick-leave certifications are available in Table 3. More than a quarter (26.1%) of our participants perceived that, at least once a week, patients consulted them for a sickness certification for non-medical reasons and 52.2% believed that the reasons for these consultations were concealing a problem in the patient's work environment. 43.5% of the participants indicated that every week they proposed accommodations as a mean to help maintain the patient at work. More than half of the participants

(60.8%) never refuse or only refuse a few times a year to provide a sickness certificate. For most participants (78.2%) conflicts with patient surrounding a sick leave were deemed rare.

### 3.3. Return to work discussion

Slightly over half of the family physicians held return to work discussions at the beginning of the sick-leave period (52.2%), while 47.8% shared having them only when the patient becomes partially functioning.

### 3.4. Sick leave prolongations due to external factors

While 95.7% of respondents believed that lengthy sick leave could have negative effects for a patient, most participants admitted prolonging unnecessary sick leaves, at least once a month, due to external factors such as waiting-time to access care such as cognitive-behavioral therapy (73.9%), psychotherapy (60.9%), psychiatrist's appointment (34.8%) or next available medical appointment (39.1%). Other reasons included delays to set-up accommodations in the workplace (60.9%), patient's poor treatment compliance (17.4%) and to avoid a conflict with a patient (17.4%).

### 3.5. Problems encountered by physicians when dealing with sickness certifications tasks

As seen in Table 4, of the 20 listed problems, 6 were deemed very difficult for more than a third of the sample. For instance, handling sickness certifications ranging from 91 days to 180 days and over 180 days was deemed very difficult by 43.5% and 65.2% of the family physicians, respectively. These numbers are contrasting with shorter duration leave (90 days

Table 2  
Frequency of FPs lacking time for different sickness related tasks (n=23)

| How often do you lack time for...  | Everyday |      | About once a week |      | About once a month or few times a year |      | Never or almost never |     |
|--|----------|------|-------------------|------|--|------|-----------------------|-----|
|  | n        | %    | n                 | %    | n                                      | %    | n                     | %   |
| ... with your patients?  | 9        | 39.1 | 10                | 43.5 | 2                                      | 8.7  | 2                     | 8.7 |
| ... to manage patient-related aspects (e.g., issue certificates, contact stakeholders, documentation, and meetings)? | 9        | 39.1 | 9                 | 39.1 | 5                                      | 21.7 | 0                     | 0   |
| ... for further education, supervision or reflection?  | 8        | 34.8 | 9                 | 39.1 | 4                                      | 17.4 | 2                     | 8.7 |

Table 3  
Frequency of sick-listing situations encounter by FPs ( $n = 23$ †)

| How often in your clinical work do you...  | More than 6 times a week |     | One to five times a week |      | About once a month or few times a year |      | Never or almost never |      |
|--|--------------------------|-----|--------------------------|------|--|------|-----------------------|------|
|  | <i>n</i>                 | %   | <i>n</i>                 | %    | <i>n</i>                               | %    | <i>n</i>              | %    |
| ... find sickness certification cases to be problematic?   | 2                        | 8.7 | 17                       | 73.9 | 4                                      | 17.3 | 0                     | 0    |
| ... encounter a patient who wants to be on sick leave for some reason other than work incapacity due to disease or injury? | 1                        | 4.3 | 5                        | 21.7 | 16                                     | 69.5 | 1                     | 4.3  |
| ... say no to a patient who asks for a sickness certificate?   | 0                        | 0   | 1                        | 4.3  | 17                                     | 73.9 | 5                     | 21.7 |
| have a patient who, partial or completely, says no to a sick leave you suggest?  | 0                        | 0   | 1                        | 4.3  | 17                                     | 73.9 | 5                     | 21.7 |
| ... have conflicts with patients about sickness certification?   | 0                        | 0   | 0                        | 0    | 20                                     | 65.2 | 3                     | 13   |
| ... worry that a patient will report you to the medical disciplinary board in connection with sickness certification? †    | 0                        | 0   | 0                        | 0    | 2                                      | 9.1  | 20                    | 90.9 |
| ... feel threatened by a patient in connection with sickness certification?  | 0                        | 0   | 0                        | 0    | 2                                      | 8.7  | 21                    | 91.3 |
| ... worry that patients will go to another physician if you don't sickness certify?  | 0                        | 0   | 0                        | 0    | 10                                     | 43.5 | 13                    | 56.5 |
| ... do you feel that your competence in insurance medicine is insufficient?  | 2                        | 8.7 | 3                        | 13   | 16                                     | 69.5 | 2                     | 8.7  |
| ... do you experience sickness certification consultations to be a work environment problem?                               | 2                        | 8.7 | 10                       | 43.5 | 11                                     | 47.8 | 0                     | 0    |
| ... do you issue sickness certificates to patients without seeing them (e.g. by telephone)? †                              | 0                        | 0   | 0                        | 0    | 5                                      | 22.7 | 17                    | 77.3 |
| ... do you propose accommodations in order to maintain the patient at work   | 0                        | 0   | 10                       | 43.5 | 11                                     | 47.8 | 2                     | 8.7  |
| ... do you consult with colleagues when handling a sickness certification?   | 0                        | 0   | 0                        | 0    | 14                                     | 60.9 | 9                     | 39.1 |

Note. †=questions that were not applicable to every participant, so the total *n* is lower than 23.

or less; and 15 days or less) for which only 8.7% and 4.3% of all family physicians perceived them to be very difficult, respectively. Handling situations in which family physician and patient or two health care professionals hold different opinions about sick listing is very difficult for 52.2% and 47.8% of all family physicians, respectively. Slightly over a third of the participants (34.8%) rated being the medical expert for the insurer while being the patient's treating physician as being very difficult. Assessing the degree to which the reduced functioning limits a patient's capacity to perform his/her work tasks and estimating the optimal sick leave duration were very difficult for 30.4% of the sample.

### 3.6. Initial training and needs for further training

As shown in Table 5, a high proportion of family physicians believed that their initial training does not greatly prepare them to handle sickness

certifications. For instance, only over a quarter believed that residency has "very" or "rather" helped develop these competences. Among the thirteen skills/training options that were presented to the participants, more than half of the participants opined that they largely or fairly need to develop their skills and knowledge around the rules/legal aspects of sickness insurance, stakeholders' roles, information about other compensation systems, handling conflicts with patients around sick leave certifications, understanding the demands required in different occupations and assessing patients' work capacity/work limitation. Table 6 provides a full summary of areas where further training may help enhance sickness certification quality.

### 3.7. Perceived valuable options to ensure high quality handling sickness certification

As shown in Table 7, family physicians were proposed sixteen different options that are thought to

Table 4  
FPs perceived sickness certifications difficulties (n = 23)

| How difficult do you generally find it to   | Very |      | Rather |      | Somewhat |      | Not at all |      |
|---|------|------|--------|------|----------|------|------------|------|
|   | n    | %    | n      | %    | n        | %    | n          | %    |
| ... handle sickness certifications of patients?   | 1    | 4.3  | 7      | 30.4 | 13       | 56.5 | 2          | 8.7  |
| ... assess whether a patient's functioning is reduced?  | 3    | 13   | 9      | 39.1 | 8        | 34.8 | 3          | 13   |
| ... assess whether the reduced functioning is due to disease/injury?  | 6    | 26.1 | 8      | 34.8 | 6        | 34.8 | 1          | 4.3  |
| ... assess the degree to which the reduced functioning limits a patient's capacity to perform his/her work tasks?   | 7    | 30.4 | 8      | 34.8 | 8        | 34.8 | 0          | 0    |
| ... consider, together with the patient, the advantages and disadvantages of being on sick leave?   | 1    | 4.3  | 7      | 30.4 | 13       | 56.5 | 2          | 8.7  |
| ... make a plan of action or measures to be taken during the sick leave?  | 1    | 4.3  | 6      | 26.1 | 9        | 39.1 | 7          | 30.4 |
| ... make a long-term prognosis about the future work capacity of patients on sick leave?  | 6    | 26.1 | 7      | 30.4 | 8        | 34.8 | 2          | 8.7  |
| ... manage the two roles as the patient's treating physician and as a medical expert for the insurer?   | 8    | 34.8 | 5      | 21.7 | 6        | 26.1 | 4          | 17.4 |
| ... consider, together with the patient, possible lifestyle and life situation changes?   | 4    | 17.4 | 5      | 21.7 | 10       | 43.5 | 4          | 17.4 |
| ... discuss and know how to deal with other psychosocial problems (e.g., economic difficulties or physical or substance abuse) when handling a patient on sick leave? | 6    | 26.1 | 6      | 26.1 | 9        | 39.1 | 2          | 8.7  |
| ... know what aspects of the sickness-certification process to document in the patient's medical file?  | 1    | 4.3  | 11     | 47.8 | 7        | 30.4 | 4          | 17.4 |
| ... handle prolongation of a sick-leave period initially certified by another physician?  | 3    | 13   | 7      | 30.4 | 8        | 34.8 | 5          | 21.7 |
| ... handle situation in which you and a patient have different opinions about the need for a sick leave?  | 12   | 52.2 | 6      | 26.1 | 4        | 17.4 | 1          | 4.3  |
| ... handle situations in which you and other health care professional have different opinions about sickness certifying a patient                                     | 11   | 47.8 | 8      | 34.8 | 4        | 17.4 | 0          | 0    |
| ... determine the optimal sick leave duration?  | 7    | 30.4 | 9      | 39.1 | 6        | 26.1 | 1          | 4.3  |
| ... handle short-term sickness certifications (<15 days)?   | 1    | 4.3  | 0      | 0    | 8        | 34.8 | 14         | 60.9 |
| ... handle short-term sickness certifications (15–90 days)?   | 2    | 8.7  | 8      | 34.8 | 9        | 39.1 | 4          | 17.4 |
| ... handle short-term sickness certifications (91–180 days)?  | 10   | 43.5 | 9      | 39.1 | 3        | 13   | 1          | 4.3  |
| ... handle long sickness certifications (>180 days)?  | 15   | 65.2 | 4      | 17.4 | 3        | 13   | 1          | 4.3  |
| ... return a patient to work  | 1    | 4.3  | 10     | 43.5 | 11       | 47.8 | 1          | 4.3  |

Table 5  
Education and sickness certifications skills development (n = 23)

| To what extent have the following educations helped you to develop your competence in handling sickness-certification cases? | Very |     | Rather |      | Somewhat |      | Not at all |      | N.A |      |
|--|------|-----|--------|------|----------|------|------------|------|-----|------|
|  | n    | %   | n      | %    | n        | %    | n          | %    | n   | %    |
| ... undergraduates   | 0    | 0   | 0      | 0    | 1        | 4.3  | 14         | 60.9 | 8   | 34.8 |
| ... core internship  | 1    | 4.3 | 0      | 0    | 5        | 21.7 | 13         | 56.5 | 4   | 17.4 |
| ... residency  | 2    | 8.7 | 4      | 17.4 | 13       | 56.5 | 2          | 8.7  | 2   | 8.7  |
| ... insurance medicine course  | 1    | 4.3 | 0      | 0    | 0        | 0    | 1          | 4.3  | 21  | 91.3 |
| ... graduate studies   | 2    | 8.7 | 0      | 0    | 2        | 8.7  | 4          | 17.4 | 15  | 65.2 |

299 help ensure high quality medical certificates. Among  
300 those, the following six obtain the higher appreciation  
301 rate: to discuss with other healthcare profession-  
302 als about a patient's functional limitations, to have  
303 more contacts with insurance physician experts, to  
304 have a standardized instrument or protocol to assess  
305 functional limitations, to attend conferences or sem-  
306 inars, to have courses in insurance medicine and a

better-informed general population about disability  
307 programs. 308

#### 4. Discussion 309

This is the first study in Quebec that uses a very  
310 extensive questionnaire to gather a more thorough  
311

Table 6  
FPs perceived needs for training (n = 23)

| To what extent do you need to further develop your competence in relation to the following? | To a large extent |      | To a fairly extent |      | To some extent |      | Not at all |     |
|---|-------------------|------|--------------------|------|----------------|------|------------|-----|
|   | n                 | %    | n                  | %    | n              | %    | n          | %   |
| ... assessing patients' functioning/reduced functioning                                     | 2                 | 8.7  | 6                  | 26.1 | 14             | 60.9 | 1          | 4.3 |
| ... assessing patients' work capacity/work limitations                                      | 4                 | 17.4 | 8                  | 34.8 | 10             | 43.5 | 1          | 4.3 |
| ... the demands required in different occupations   | 2                 | 8.7  | 12                 | 52.2 | 9              | 39.1 | 0          | 0   |
| ... assess the optimum length and degree of sickness absence                                | 6                 | 26.1 | 7                  | 30.4 | 8              | 34.8 | 2          | 8.7 |
| ... handling conflicts with patients about the need for sickness certification              | 5                 | 21.7 | 9                  | 39.1 | 6              | 26.1 | 3          | 13  |
| ... to write sickness certificates  | 2                 | 8.7  | 5                  | 21.7 | 13             | 56.5 | 3          | 13  |
| ... deciding when there is a need to contact the insurers                                   | 3                 | 13   | 7                  | 30.4 | 10             | 43.5 | 3          | 13  |
| ... sickness insurance rules and laws   | 9                 | 39.1 | 10                 | 43.5 | 1              | 4.3  | 3          | 13  |
| ... other types of compensation in the social insurance system (e.g., CALAC)                | 8                 | 34.8 | 10                 | 43.5 | 3              | 13   | 2          | 8.7 |
| ... your role and responsibilities as a physician in sickness certification cases           | 6                 | 26.1 | 11                 | 47.8 | 4              | 17.4 | 2          | 8.7 |
| ... the role and responsibilities of the insurer in sickness certification cases            | 8                 | 34.8 | 10                 | 43.5 | 5              | 21.7 | 0          | 0   |
| ... the role and responsibilities of the employer in sickness certification cases           | 7                 | 30.4 | 13                 | 56.5 | 3              | 8.7  | 1          | 4.3 |
| ... the role and responsibilities of the patient in sickness certification cases            | 8                 | 34.8 | 11                 | 47.8 | 3              | 13   | 1          | 4.3 |

Table 7  
Perceived valued of solutions aiming at ensuring high quality sickness certifications (n = 23)

| How do you value the following options with regard to ensuring high quality of your handling of sickness certification cases, now and in the future? | Very beneficial |      | Moderately beneficial |      | Not beneficial |      |
|--|-----------------|------|-----------------------|------|----------------|------|
|  | n               | %    | n                     | %    | n              | %    |
| ... supervision  | 3               | 13   | 15                    | 65.2 | 5              | 21.7 |
| ... written information  | 9               | 39.1 | 14                    | 60.9 | 0              | 0    |
| ... courses in insurance medicine  | 15              | 65.2 | 8                     | 34.8 | 0              | 0    |
| ... courses in conflict management   | 13              | 56.5 | 6                     | 26.1 | 4              | 17.4 |
| ... conferences or seminars  | 16              | 69.6 | 6                     | 26.1 | 1              | 4.3  |
| ... to have opportunities to contact experts in insurance medicine   | 19              | 82.6 | 3                     | 13   | 1              | 4.3  |
| ... contacts with fellow physicians  | 11              | 47.8 | 7                     | 30.4 | 5              | 21.7 |
| ... forum discussion   | 5               | 21.7 | 13                    | 56.5 | 5              | 21.7 |
| ... getting statistics on your issued sickness certificates over the past year   | 7               | 30.4 | 10                    | 43.5 | 6              | 26.1 |
| ... getting statistics on issued sickness certifications at your clinic over the past year   | 5               | 21.7 | 10                    | 43.5 | 8              | 34.8 |
| ... a joint instrument or protocol for assessment of work capacity   | 19              | 82.6 | 3                     | 13   | 1              | 4.3  |
| ... receiving higher remuneration for issuing sickness certificates  | 7               | 30.4 | 6                     | 26.1 | 10             | 43.5 |
| ... to have more contacts with insurers  | 2               | 8.7  | 18                    | 78.3 | 3              | 13   |
| ... getting a second opinion from another physician regarding a patient  | 10              | 43.5 | 9                     | 39.1 | 4              | 17.4 |
| ... getting information to support your assessment concerning insurance medicine (e.g. psychologist)   | 20              | 87   | 3                     | 13   | 0              | 0    |
| ... that general population be better inform about disability programs   | 16              | 69.6 | 6                     | 26.1 | 1              | 4.3  |

312 understanding of sick-listing tasks, practices, difficul-  
 313 ties and needs of family physicians when sick-listing  
 314 a worker due to mental disorders. For all our partic-  
 315 ipants sickness certifications consisted in a weekly  
 316 task and close to 40% of the family physicians dis-  
 317 closed doing this more than 6 times a week. This  
 318 task was qualified as problematic by most of the

319 participants. Our results are consistent with those of  
 320 qualitative and quantitative studies conducted both in  
 321 Canada and internationally [19, 20, 30, 33]. Despite  
 322 an average of 16.8 years of practice, one in five  
 323 physicians felt that their insurance medicine skills  
 324 were inadequate at least once a week. Few family  
 325 physicians perceived that their medical training has

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326 helped them acquired these skills, which is in line  
327 with other international studies [21, 34, 35]. Interest-  
328 ingly, of the two-family physicians who pursued an  
329 insurance medicine course as part of their continuous  
330 education, one of them felt that it was not helpful at  
331 all. Participants expressed the desire to obtain more  
332 training which also echoes other studies findings [36,  
333 37]. Considering that mental disorders account for  
334 one-third of all disability claims and are reported to  
335 be more complex, it is important to develop specific  
336 trainings that meet physicians' needs.

337 Performing functional assessments and determin-  
338 ing an individual's capacity to work, have been  
339 highlighted as areas requiring more substantial train-  
340 ing. Family physicians could theoretically consult  
341 with occupational health physicians or occupational  
342 therapists to help assess a worker's functional limita-  
343 tions. However, available resources do not currently  
344 allow for this. In Quebec, there is a shortage of occu-  
345 pational therapists, which results in longer delays  
346 for services [38, 39]. As such, the task of complet-  
347 ing medical certificates often falls upon the family  
348 physician. Many studies show that these are often  
349 incomplete or ambiguous [40]. As a result, nega-  
350 tive unintended consequences may include insurance  
351 claims being denied or delays in rendering a decision  
352 [8]. Therefore, while we believe that the gold standard  
353 could ideally include greater interdisciplinary collab-  
354 oration, in the face of a glaring lack of resources it is  
355 important that initial medical training include more  
356 disability-related components.

357 The legal aspects of disability and the roles and  
358 responsibilities of all stakeholders involved in the  
359 disability management process are also competences  
360 that family physicians would like to develop fur-  
361 ther. Interdisciplinary conferences that could bring  
362 together several professionals such as insurers, insur-  
363 ance medicine experts, lawyers, human resources  
364 advisors and return-to-work coordinators can be key.  
365 For instance, most physicians indicated needing more  
366 knowledge around each stakeholder's role and some  
367 admitted prolonging work disabilities due to delays  
368 in accessing services. However, many insurers have  
369 rehabilitation departments to help workers regain  
370 their functional abilities or to bridge the gap between  
371 residual symptoms and function. From this perspec-  
372 tive, a better understanding of each stakeholder's  
373 roles and responsibilities appears essential in enhanc-  
374 ing collaboration and expediting recovery and return  
375 to work outcomes.

376 Conflict management also appears to be an area  
377 where more training might be needed. While our par-

378 ticipants indicated that conflicts with patients around  
379 sickness certifications were uncommon, nearly one  
380 physician out of five admitted prolonging a sick leave  
381 at least once a month to avoid a conflict. These  
382 results are somewhat inconsistent and may attest  
383 more experienced conflicts than openly reported.  
384 From an instrumental conditioning perspective, cer-  
385 tifying a patient to avoid a conflict is a form of  
386 negative reinforcement. Given that reinforcement  
387 increases the likelihood that a behaviour occurs again  
388 in the future, it is important to develop conflict res-  
389 olution training that would allow family physicians  
390 to handle these challenging discussions differently.  
391 These results may not only raise ethical concerns  
392 but also questions pertaining to the cost and bene-  
393 fits of this approach. On one hand, an increase in  
394 absence duration reduces the likelihood of a worker  
395 returning to work [3]. On the other hand, lengthy  
396 absences are associated with negative outcomes for  
397 a patient. As such, developing trainings that meet  
398 physicians' needs is important and may allow for not  
399 only greater patient-physician relationships, but also  
400 to less administrative work, more time with patients,  
401 and earlier rehabilitation interventions or return to  
402 work.

#### 4.1. Limitations 403

404 Our results should be interpreted with caution due  
405 to the small sample size. Despite multiple recruit-  
406 ment campaigns, extensive solicitation efforts and  
407 the support of different medical associations and the  
408 FMOQ, few family physicians participated. While  
409 this may speak to a deeper problem, namely a lack  
410 of time which was highlighted by the participants,  
411 this could translate to a bias. For instance, there is  
412 a possibility that only family physicians who had  
413 strong interest in sickness certifications agreed to par-  
414 ticipate, or else, those who struggle the most with  
415 these tasks showed substantial interest in the study.  
416 Furthermore, it is well known that the use of ques-  
417 tionnaires opens the door to social desirability. As  
418 such, we cannot exclude that participants may have  
419 over-reported "optimal" practices and under-reported  
420 other behaviors.

#### 4.2. Future directions 421

422 Given that family physicians call for further edu-  
423 cation on disability, future studies should focus on  
424 training material development. So far, most of the  
425 family physicians' knowledge around sick-listing



practices is acquired by trial and error. Given this, future studies should measure social validity to ensure that training material is deemed acceptable and satisfactory by the users. Additionally, given that nurse practitioner's field of practice is expanding in Quebec, resulting in more autonomy in respects to sick listing a patient, it is recommended that future studies focus on their practices and needs.

Some studies suggested that asking physicians to complete fit forms instead of disability forms could reduce the number of workers on sick leave [41]. Completing fit forms and focusing on preserved abilities could potentially prompt physicians to recommend workplace accommodations. As such, insurers should reflect on the pertinence of requesting fit forms and perhaps collaborate with researchers in the field to determine whether this brings an added value to the process and results in better outcomes for all stakeholders.

## 5. Conclusion

In conclusion, results showed that family physicians perform sick-listing tasks weekly and most of them perceived these as problematic. Interestingly, 43.5% of the participants admitted recommending accommodations for their patient as an alternative to a sick leave. Work plays an important part in an individual's health and wellness. It can be beneficial, when appropriate, to offer workplace accommodations as an alternative to a sick leave. This would allow employees to maintain the structure and routine that a workplace provides, while seeking out or engaging in supports to address their mental health concerns. Unfortunately, family physicians stated that long waiting-times to set-up accommodations in the workplace are responsible for many unnecessary sick-leave extensions. Better collaboration and communication between all stakeholders could possibly bridge this gap.

More than half of the participants indicated that current training curriculum did not significantly prepare them to carry out sickness certification tasks. As such, training material would likely need to be revised as very few perceived that it prepares them for their clinical practice. Our findings are important and highlight main areas for training. The following represent the main areas of focus for future training opportunities: legal aspects of sickness insurance, stakeholders' roles, other compensation systems, conflict management and assessing patients' work capacity/work

limitations. Insurers, medical schools and/or associations can develop targeted trainings to meet the needs of family physicians. Given the key role they play, improved training can help facilitate communication and coordination between all stakeholders and could ultimately improve the disability management process.

## Acknowledgments

The authors would like to thank Vanessa Alborino for the linguistic revision and feedback.

## Ethics statement

The study was approved by the UQAM/Comités d'éthique de la recherche avec des êtres humains (#2979, date: 29-05-2019).

## Informed consent

Informed consent was obtained from all participants.

## Conflict of interest

The authors declare that they have no conflict of interest.

## Funding

Not applicable.

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